



## September 28th, updates

The final score should be based on three evaluations:

→ Exercise score: **one exercise per week** will be identified during one of the two lessons, with a precise deadline for sending your script

→ **Mid-term exam, Wednesday Oct. 7th**: modalities to be communicated (pending ENS instructions)

→ Final exam, expected Monday Nov. 11th

## Alternative to Gaussian elimination - ctd

September 28th, 2020



## Overview

Matrix polynomials

Minimal bases

New elimination

## **Matrix polynomials**



$A \in \mathbb{K}[x]^{n \times n}$  of degree  $d$

$$\det A(x) = \sum_{\sigma} \prod_{i=1}^n A_{\sigma(i), i}$$

$$\deg \det A \leq nd$$



$A \in \mathbb{Z}[x]^{n \times n}$  with entries of absolute values less than  $b$

$$\det A = \prod_{j=1}^n \|A_j^*\| \leq \prod_{j=1}^n \|A_j\| \leq b^n n^{n/2}$$

For **input size**  $\beta$ :

$$\log \det A \leq n\beta + O(n \log n)$$



► Hadamard's conjecture

$a_{i,j} \in \{1, -1\}$  and the rows of  $A$  are mutually orthogonal

A Hadamard matrix of dimension  $n$  exists for every  $n$  multiple of 4



$$A = \begin{bmatrix} 54 & -79 & -5 & -79 \\ 47 & 9 & 47 & 75 \\ 90 & 45 & -54 & -85 \\ -41 & -10 & -72 & -19 \end{bmatrix}$$





$$A = \begin{bmatrix} 54 & -79 & -5 & -79 \\ 47 & 9 & 47 & 75 \\ 90 & 45 & -54 & -85 \\ -41 & -10 & -72 & -19 \end{bmatrix} \rightarrow \begin{bmatrix} 54 & -79 & -5 & -79 \\ 0 & \frac{4199}{54} & \frac{2773}{54} & \frac{7763}{54} \\ 0 & 0 & -\frac{681651}{4199} & -\frac{1175510}{4199} \\ 0 & 0 & 0 & \frac{69126727}{681651} \end{bmatrix}$$



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$$\det A = -69126727$$



$$a_{ij}^{(k)} = \begin{vmatrix} A_{1..k,1..k} & A_{1..k,j} \\ A_{i,1..k} & a_{ij} \end{vmatrix}$$

$$L_i \leftarrow L_i - \alpha L_k$$

$$a_{ij}^{[k]} = a_{ij}^{[k-1]} - \frac{a_{ik}^{[k-1]}}{a_{kk}^{[k-1]}} a_{kj}^{[k-1]}$$



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## Gauss-Bareiss elimination (Sylvester's identities)

$$\begin{bmatrix} a_{11} & a_{12} & \dots & \dots & a_{1n} \\ a_{22} & a_{22} & & & \vdots \\ \vdots & & & & \vdots \\ \vdots & & & & \vdots \\ a_{n1} & \dots & \dots & \dots & a_{nn} \end{bmatrix} \rightarrow \begin{bmatrix} a_{11} & a_{12} & \dots & \dots & a_{1n} \\ 0 & \frac{\Delta_{22}}{\Delta_{11}} & & & \vdots \\ \vdots & & \ddots & & \vdots \\ \vdots & & & \frac{\Delta_{i,j}}{\Delta_{i-1,i-1}} & \vdots \\ \vdots & 0 & & \ddots & \vdots \\ 0 & \dots & \dots & 0 & \frac{\Delta_{nn}}{\Delta_{n-1,n-1}} \end{bmatrix}$$

$$\Delta_{i,j} = \begin{vmatrix} a_{11} & \dots & a_{1,i-1} & a_{1,j} \\ a_{21} & \dots & a_{2,i-1} & a_{2,j} \\ \vdots & & \vdots & \vdots \\ a_{i1} & \dots & a_{i,i-1} & a_{i,j} \end{vmatrix}$$



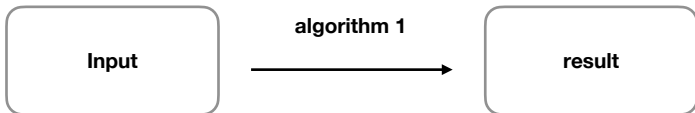
## Gauss-Bareiss elimination (Sylvester's identities)

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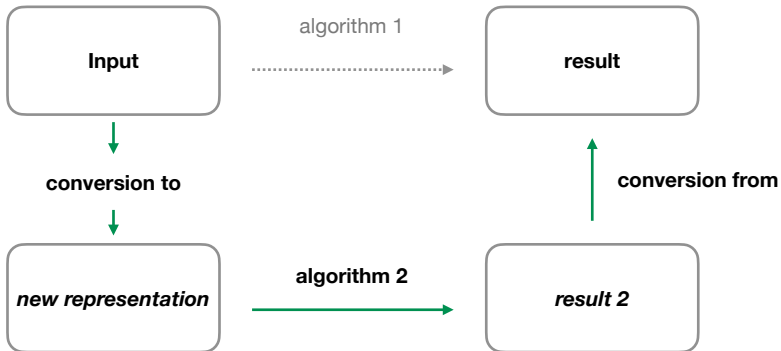
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Size of output entries  $\approx$  size of the determinant

## Change of representation

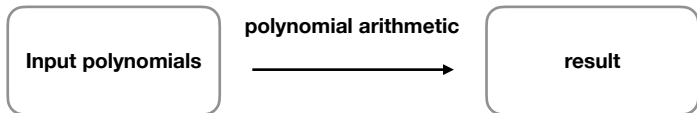


## Change of representation

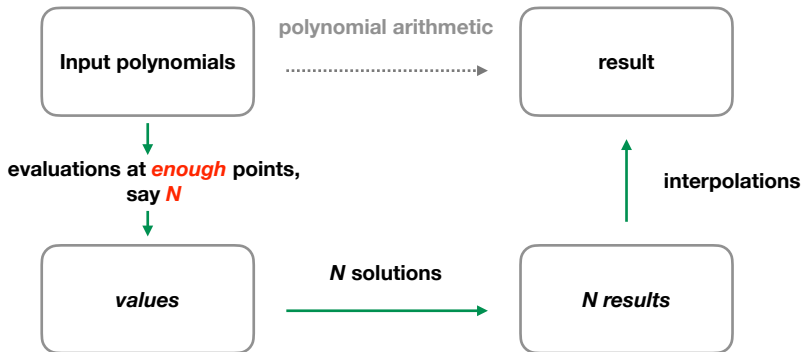




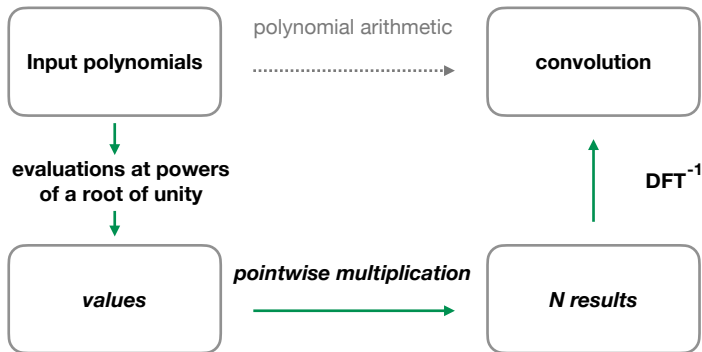
## Polynomial (or rational function) problem



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## Example : DFT based polynomial multiplication





## Chinese Remainder Theorem

$R$  a Euclidean domain

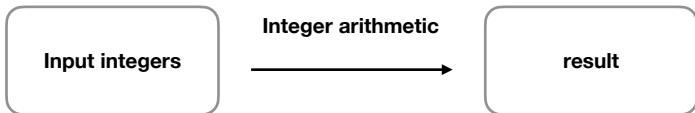
$m_1, m_2, \dots, m_l \in R$  pairwise coprime,  $m = m_1 m_2 \dots m_l$

$$R/\langle m \rangle \cong R/\langle m_1 \rangle \times R/\langle m_2 \rangle \times \dots \times R/\langle m_l \rangle$$

**Cost:**  $O(M(\log m) \log \log m)$

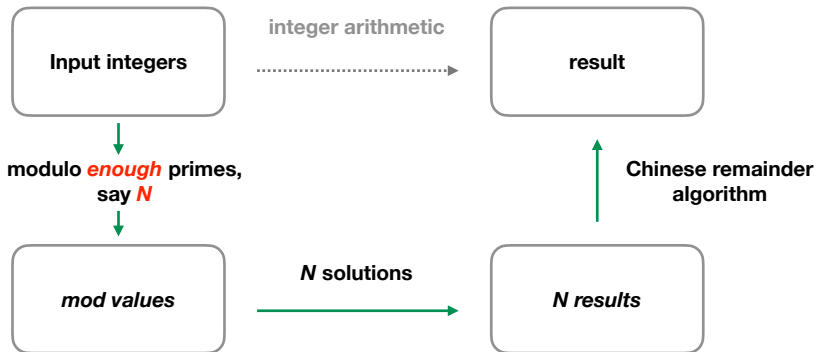
*“Interpolating an integer from its values at several primes”*

**Integer (or rational) problem**



*“Interpolating an integer from its values at several primes”*

Integer (or rational) problem





## $K[x]$ or **Bit complexity**

Inputs and outputs have a **size** or a **precision**.

**Impact** on the problem's complexity ?



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**Impact** on the problem's complexity?

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- $A \in \mathbb{Z}^{n \times n}$  :  $\text{size}(\det A) = O(\mathbf{n} \log \|A\|)$



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- $A \in \mathbb{Z}^{n \times n}$  :  $\text{size}(\det A) = O(\mathbf{n} \log \|A\|)$
- $A \in \mathbb{Z}^{n \times n}$  :  $O(\log \text{cond}(A)) = O(\mathbf{n} \log \|A\|)$



## Impact of data size ?

Ex. Determinant computation/Output size :  $\mathbf{n}d$

Evaluation/interpolation or homomorphic scheme

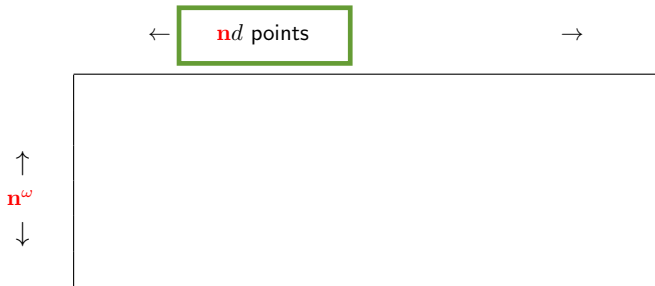
↑  
 $\mathbf{n}^\omega$   
↓



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Ex. Determinant computation/Output size :  $\mathbf{n}d$

Evaluation/interpolation or homomorphic scheme

←  $\mathbf{n}d$  points

→

Complexity estimates:

$$\tilde{O}(n^\omega \times nd) = \tilde{O}(\mathbf{n}^{\omega+1}d)$$

↑  
 $\mathbf{n}^\omega$   
↓

## Impact of data size ?

Ex. Determinant computation/Output size :  $\mathbf{nd}$  or  $O(\mathbf{n} \log \|A\|)$ ,

Evaluation/interpolation or homomorphic scheme

or  $O(n \log \|A\|)$  bits *a priori* :

←  $\mathbf{nd}$  points or  $O(\mathbf{n} \log \|A\|)$  bits →

Complexity estimates:

↑  
 $\mathbf{n}^\omega$   
↓

$$O(n^\omega \times \mathbf{nd}) = O(\mathbf{n}^{\omega+1} d)$$

$$O(\mathbf{n}^{\omega+1} \log \|A\|)$$



## Related problem - 2

### Rational reconstruction

$f(x) \in K[x]$ , degree  $n$

$h(x)$

Find  $p(x)$  and  $q(x)$  such that for  $k$  given  $1 \leq k \leq n$ :

$$h(x) = \frac{p(x)}{q(x)} \bmod f(x)$$

with  $\gcd(q, f) = 1$ ,  $\deg p < k$ ,  $\deg q \leq n - k$



## Linear system solution

$$A(x) \in \mathbb{K}[x]^{n \times n}, b(x) \in \mathbb{K}[x]^n \quad Au = b ?$$

1.  $\det A(0) \neq 0 \in \mathbb{K}$  or solve the shifted problem  $A(x + \alpha)u(x + \alpha) = b(x + \alpha)$





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2. Compute the truncated power series such that  $A(x)\hat{u}(x) = b(x) \bmod x^{2nd+1}$
3. Reconstruct  $u(x)$  from  $\hat{u}(x)$  (rational reconstruction)

**Cost:**  $\tilde{O}(n^\omega \times nd)$

Matrix polynomials



Minimal bases



New elimination





- ▷  $\mathbf{MM}(\mathbf{n}, d) = O^{\sim}(n^{\omega}d)$  : cost for multiplying  $n \times n$  matrices of degree  $d$
- ▷  $\mathbf{MM}(\mathbf{n}, \log \|A\|) = O^{\sim}(n^{\omega} \log \|A\|)$  : cost for multiplying  $n \times n$  integer matrices

### The determinant can be computed in

in  $O(n \cdot \mathbf{MM}(n, d))$  or  $O(n \cdot \mathbf{MM}(n, \log \|A\|))$  operations,



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### The determinant can be computed in

in  $O(n \cdot \mathbf{MM}(n, d))$  or  $O(n \cdot \mathbf{MM}(n, \log \|A\|))$  operations,



i.e. in say  $n$  corresponding matrix products

**Fundamentals of dense linear algebra over  $K[x]$  or  $\mathbb{Z}$** 

Monte Carlo rank

$O(n^\omega + n^2 \log \|A\|)$

System solution (Hensel lifting)

$O(n^3 \log \|A\|)$

[Moenck &amp; Carter 79, Dixon 82]

**Determinant, inversion, nullspace, rank, . . .**

$O(\mathbf{n} \cdot \text{MM}(n, \log \|A\|))$

[Edmonds 67, Bareiss 69, Moenck &amp; Carter 79]

Deterministic

Frobenius form (minimum, **characteristic polynomial**)

$O(\mathbf{n} \cdot \text{MM}(n, \log \|A\|))$

[Giesbrecht 93, Giesbrecht &amp; Storjohann 02]

Las Vegas

**Hermite** and **Smith forms**, (diophantine systems)

$O(\mathbf{n} \cdot \text{MM}(n, \log \|A\|))$

[Kannan &amp; Bachem 79, Domich 85, Giesbrecht 95, Storjohann 96-00]

Deterministic



**Bit complexity  $\preceq$  algebraic complexity  $\times$  output size**



**Bit complexity**  $\preceq$  **algebraic complexity**  $\times$  **output size**

Is this bound pessimistic?



## Minimal bases



$$A \in \mathbb{K}[x]^{m \times 2m}$$

$$A(x)G(x) = \begin{bmatrix} P(x) & Q(x) \end{bmatrix} \begin{bmatrix} -P(x)^{-1}Q(x) \\ -I \end{bmatrix} = \begin{bmatrix} 0 \end{bmatrix}$$

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$$A(x)N(x) = \begin{bmatrix} P(x) & Q(x) \end{bmatrix} \begin{bmatrix} M_1(x) \\ M_2(x) \end{bmatrix} = \begin{bmatrix} 0 & 0 \end{bmatrix} \pmod{x^\sigma}$$



Degree = 1,  $m = 6$

```
> RandomMatrix(n,2*n,generator=rr);
```

$$\begin{bmatrix} 2x+4 & 7x+8 & 4x+10 & 10x+4 & 5x+4 & 3x+9 & 6x+3 & 7x+7 & 9x & 5x+1 & 10x+4 & 3x \\ 10x+5 & 3x+7 & 10x+7 & 3x+3 & 9x & 2 & 6x+7 & 5x+8 & 3 & 3 & 5x+9 & 10x \\ 6x+9 & 8x+1 & 6x+7 & 2x+7 & 9x+8 & 5x+7 & 3x+4 & 2x+3 & 8x+4 & 5x & 2x+3 & 7x+1 \\ 2x+10 & x+10 & 10x+5 & 8x+9 & 8x+7 & 2x+6 & 8x+4 & 7x+7 & 2x+6 & 4x+2 & 10 & 3x+7 \\ 9x+3 & x+5 & 7 & 6x+10 & 5x+1 & 6x+7 & 3 & x+7 & 2x+7 & 7x+5 & 9x+4 & 8x+10 \\ 2x+8 & 8x+9 & 9x+2 & 7x+6 & 3x+9 & 4x+7 & 6x+5 & 4x+5 & 2x+4 & 4x+6 & 9x+3 & 6x+10 \end{bmatrix}$$

Matrix polynomials

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Minimal bases

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New elimination

○○○○○○○○○○○○  
○○○○○○○○ $6 \times 6$  minors of degree  $md = 6$ 

$\frac{5x^6+3x^5+10x^4+4x^3+7x^2+10x+6}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{9x^6+4x^5+7x^4+6x^3+4x^2+8x}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{2x^6+2x^5+2x^4+2x^3+5x^2+2x}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{9x^6+3x^5}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{5x^6+4x^5+8x^4+5x^3+x^2+5x+7}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{x^6+5x^5+10x^4+5x^3+7x^2+5x+7}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$
$\frac{10x^6+2x^5+5x^4+9x^3+2x^2+7x+2}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{2x^6+8x^5+3x^4+4x^3+4x+8}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{8x^6+9x^5+3x^4+6x^3+7x^2+7x+7}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{8x^6+5x^5+8x^4+2x^3+3x^2+10}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{7x^6+2x^5+3x^4+6x^3+6x^2+2x+7}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{10x^6+x^5+5x^4+6x^3+4x+5}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$
$\frac{6x^6+10x^5+x^4+9x^3+6x^2+8x+4}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{6x^6+4x^5+5x^4+x^3+10x^2+7x+2}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{2x^6+10x^5+2x^4+x^3+10x^2+2x+7}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{x^6+x^5+9x^4+7x^3+4x+9}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{8x^6+5x^5+7x^4+4x^3+5x^2+9x+1}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{4x^6+x^5+8x^4+2x^3+6x+2}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$
$\frac{4x^6+6x^5+5x^4+2x^3+x^2+10}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{4x^6+2x^5+10x^4+5x^3+4x+8}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{5x^6+6x^5+3x^4+4x^3+2x+6}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{x^6+8x^5+9x^4+6x^3+4x+6}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{6x^6+7x^5+8x^4+7x^3+6x^2+5}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$	$\frac{x^6+3x^5+4x^4+8x^3+2x^2+8x+6}{x^6+3x^5+5x^4+9x^3+7x^2+4x+1}$
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1	0	0	0	0	0
0	1	0	0	0	0
0	0	1	0	0	0
0	0	0	1	0	0
0	0	0	0	1	0
0	0	0	0	0	1
0	0	0	0	0	1



## “Small” nullspace basis computed via approximants at “sufficiently large” order

```
> PMbasis(M,[seq(0,i=1..2*n)],8,x) mod q: %[1..2*n,n+1..2*n];
```

$$\begin{bmatrix} 5x+10 & 9x+5 & 9x+5 & 7 & 5x+5 & x+4 \\ 10x & 7 & 9x+6 & 9x+10 & 7x+8 & 5x+8 \\ 6x+9 & 6x+3 & 4x & 7x+3 & 10x+2 & 10x \\ 4x+8 & 4x+7 & 5x+2 & 6x+5 & 5x+4 & 5x+8 \\ 2x+10 & x+1 & 2x+2 & 9x & 4x+9 & 8x+2 \\ 9x & 9x+5 & x+5 & 7x+4 & 3x+6 & 4x+1 \\ x+10 & 0 & 10x+8 & 4x & 4x+9 & 3x \\ 2 & x+1 & 5x+9 & 6 & 7x+9 & 4x+9 \\ 10 & 4 & x+4 & 2x+3 & 7x+5 & 2x+7 \\ 1 & 5 & 6 & x+8 & 10x+6 & 2x+8 \\ 7 & 9 & 5 & 5 & x+6 & 3x+5 \\ 0 & 9 & 3 & 7 & 9 & x+5 \end{bmatrix}$$



**Theorem:** Generically,  $A \in K[x]^{m \times 2m}$  of degree  $d$  has a nullspace basis of degree  $d$

*Generically:* unless the entries of  $A$  form a zero of a multivariate polynomial  
in  $K[a_{11}, \dots, a_{ij}, \dots, a_{nn}]$

**Hint:** true for  $A(x) = [\bar{A}(x) \ I]$

$$A \in \mathbb{K}[x]^{m \times 2m}$$

Assumption: there exists a nullspace basis of degree  $d$

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- ▶ If  $\deg u(x) \leq d$  then  $A(x)u(x) = 0 \pmod{x^{2d+1}} \implies B(x)u(x) = 0$
- ▶  $B(x)$  has exactly  $m$  columns of degree  $d$  (others are of larger degree)



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$$A(x)B(x) = 0$$

**Corollary:** A nullspace basis can be computed in  $\tilde{O}(n^\omega d)$  operations in  $\mathbb{K}$ .

**New elimination**

## Divide and conquer

[Strassen 1969, Schönhage 1973, Bunch & Hopcroft 1974]

$$\begin{bmatrix} I & 0 \\ -BA^{-1} & I \end{bmatrix} \cdot \begin{bmatrix} A & C \\ B & D \end{bmatrix} = \begin{bmatrix} A & C \\ 0 & D - BA^{-1}C \end{bmatrix}$$

At next step :

↔ Dimension: divided by two



## Divide and conquer

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At next step :

↔ Dimension: divided by two

↔ **Entry size : multiplied by  $n/2$**



## Divide-double and conquer

The **dimension is divided by two** while the **entry size is at most doubled**

$$\Rightarrow \text{Cost: } \sum_{i=1}^{\log n} \left(\frac{n}{2^i}\right)^\omega 2^i d = O(\mathbf{n}^\omega \mathbf{d})$$

*degree d**degree 2d*

$$A = \begin{bmatrix} * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * \end{bmatrix} \rightarrow BA = \begin{bmatrix} * & * & * & * & & & & \\ * & * & * & * & & & & \\ * & * & * & * & & & & \\ * & * & * & * & & & & \\ & & & & * & * & * & * \\ & & & & * & * & * & * \\ & & & & * & * & * & * \\ & & & & * & * & * & * \end{bmatrix}$$

$$\begin{bmatrix} \overline{B} \\ \underline{B} \end{bmatrix} \begin{bmatrix} A_L & A_R \end{bmatrix} = \begin{bmatrix} A'_L & 0 \\ 0 & A'_R \end{bmatrix}$$

## Minimal bases diagonalization

$$A = \begin{bmatrix} * & * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * & * \\ * & * & * & * & * & * & * & * & * \end{bmatrix}$$









[Jeannerod & Villard 2005] [Zhou, Labahn & Storjohann 2015]

**Theorem:** The inverse of a polynomial matrix of degree  $d$  can be computed in essentially optimal time  $\tilde{O}(n^3 d)$



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**Hint:**

- ▶ Generically: show that minimal bases with appropriate degrees exist recursively
- ▶ General case: manage unbalanced degrees in nullspace basis

For  $\xi \geq 1$ , let  $T(n, \xi)$  be a bound on the cost of the algorithm with input  $(\mathbf{F} \in \mathbb{K}[x]^{n \times n}, \vec{s} \in \mathbb{Z}^n)$  that satisfies  $\sum \vec{s} \leq \xi$ .

$$T(n, \xi) \leq T(\lfloor n/2 \rfloor, \xi) + T(\lceil n/2 \rceil, \xi) + (n^\omega (1 + \xi/n))^{1+o(1)}.$$





$A, A^2, \dots, A^n ?$



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1. Inverse  $(I - xA)$



$A, A^2, \dots, A^n ?$

1. Inverse  $(I - xA)$
2. Expand the entries modulo  $x^{n+1}$

$$(I - xA)^{-1} = I + xA + x^2 A^2 + \dots + x^n A^n \pmod{x^{n+1}}$$

**Cost:**  $\tilde{O}(n^3)$  operations in  $K$ , essentially optimal

Matrix polynomials



Minimal bases



New elimination





**Exercise:** For  $A \in \mathbb{K}[x]^{m \times 2m}$  of degree  $d$  we are given an algorithm `ApproximantBasis(A,  $\delta$ )` that returns a minimal approximant basis at order  $\delta \geq d$  in time  $\tilde{O}(m^\omega \delta)$ . For  $M \in \mathbb{K}^{n \times n}$ , give an algorithm for computing  $M, M^2, \dots, M^n$  in  $\tilde{O}(n^3)$  operations in  $\mathbb{K}$ . You will assume (property of genericity) that for any  $A \in \mathbb{K}[x]^{m \times 2m}$  encountered for some  $m$  and  $d$  during the algorithm, there exists a nullspace basis of degree  $d$ ; also assume that  $n$  is a power of 2.

## Theorem of matrix polynomials

$A(x) \in \mathbb{K}[x]^{n \times n}$  of degree  $d$

In  $\tilde{O}(n^\omega d)$  (sometimes say  $\tilde{O}(\text{MM}(n, d))$ ) arithmetic operations one can compute:

- ▶ The determinant
- ▶ A linear system solution, right hand side of degree  $O(nd)$
- ▶ A minimal basis of the module
- ▶ The Hermite and the Smith normal forms

### *Rectangular case*

- ▶  $m \times n$ ,  $m \leq n$ , minimal basis in  $\tilde{O}(m^{\omega-1}nd)$
- ▶ Nullspace basis in  $\tilde{O}(mnr^{\omega-2}d)$

Matrix polynomials



Minimal bases



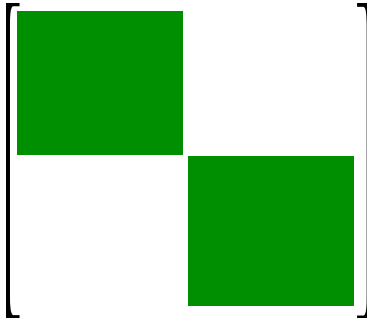
New elimination





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## Divide-double & conquer : slight increase in size





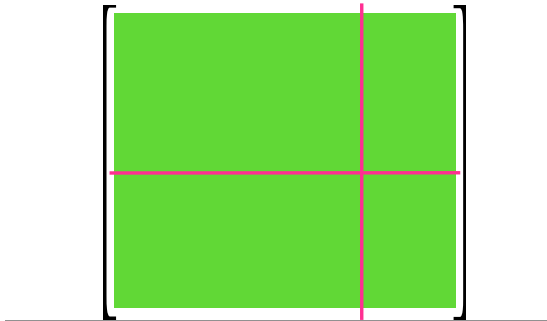


## Size versus dimension





## Odd slicing



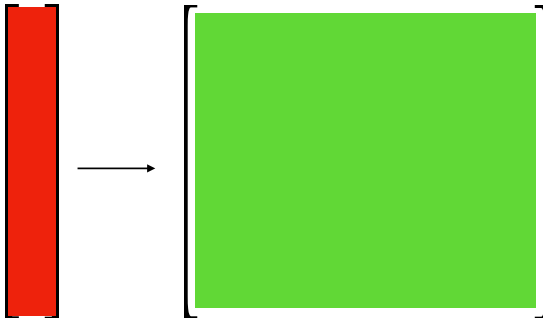


## Slicing + overlapping





## Linearization





## Theorem of integer matrices

$A(x) \in \mathbb{Z}^{n \times n}$ , entries of size  $\beta$

In  $\tilde{O}(n^\omega \beta)$  (sometimes say  $\tilde{O}(\text{MM}(n, \beta))$ ) arithmetic operations one can compute:

- ▶ The determinant
- ▶ A linear system solution, right hand side of size  $O(n\beta)$
- ▶ The (certified) rank
- ▶ The Smith normal forms (non singular case)
- ▶ Matrix inverse in  $\tilde{O}(n^3(\beta + \log \kappa(A)))$



## Open problems

Understanding the link with corresponding matrix multiplication?

~>  $K[x]$  and  $\mathbb{Z}$ : **Characteristic polynomial**

~>  $\mathbb{Z}$ : **LLL lattice basis reduction**